

INTERNATIONAL UNION OF PURE AND APPLIED CHEMISTRY

ANALYTICAL CHEMISTRY DIVISION
COMMISSION ON SPECTROCHEMICAL AND
OTHER OPTICAL PROCEDURES FOR ANALYSIS *

**NOMENCLATURE, SYMBOLS, UNITS, AND THEIR USAGE IN SPECTROCHEMICAL
ANALYSIS - XV:**

LASER-BASED MOLECULAR SPECTROSCOPY FOR CHEMICAL ANALYSIS - LASER FUNDAMENTALS

(IUPAC Recommendations 1994)

Prepared for publication by

D. S. Moore¹, T. Vo-Dinh², N. H. Velthorst³, and B. Schrader⁴

¹Chemical Science and Technology Division, Los Alamos National Laboratory, Los Alamos, NM 87544, USA

²Advanced Monitoring Development Group, Oak Ridge National Laboratory, Oak Ridge, TN 37831-6101,
USA

³General and Analytical Chemistry, Vrije Universiteit, De Boelalaan 1083, 1081 HV Amsterdam, Netherlands

⁴Institut für Physikalische und Theoretische Chemie, Universität-GH-Essen, D-45117 Essen, Germany

*Membership of the Commission during the period 1987-1994 in which the report was prepared was as follows:

Chairman: 1987-1991 J.-M.M. Mermet (France); 1991-1995 T. Vo-Dinh (USA); *Secretary:* 1987-1989 L.R.P. Butler (South Africa); 1989-1993 A.M. Ure (UK); 1993-1995 D.S. Moore (USA); *Titular Members:* G. Gauglitz (Germany 1991-95); W. H. Melhuish (New Zealand, 1985-89); J. N. Miller (UK, 1991-95); D. S. Moore (USA 1989-93); N. S. Nogar (USA, 1987-1991); N. Omenetto (Italy, 1989-91); B. Schrader (Germany 1989-95); C. Sénémaud (France 1987-89); N. H. Velthorst (Netherlands 1991-95); T. Vo-Dinh (USA 1989-91); M. Zander (Germany 1987-89); *Associate Members:* F. Adams (Belgium 1991-95); A. M. Andreani (France 1991-95); J. R. Bacon (UK 1993-97); H. J. Coufal (USA 1989-95); G. Gauglitz (Germany 1989-91); G. M. Hieftje (USA 1983-93), T. Imasaka (Japan 1993-97); W. Lukosz (Switzerland 1993-97); J. N. Miller (UK 1989-91); D. S. Moore (USA 1987-89); N. Omenetto (Italy 1985-89); B. Schrader (Germany 1987-89); C. Sénémaud (France 1989-91); R. Sturgeon (Canada 1985-91); G. C. Turk (1993-97); N. L. Velthorst (Netherlands (1989-91); T. Vo-Dinh (USA 1987-89); J. Wilkinson (UK 1993-95); J. P. Willis (South Africa 1985-91); E. Yeung (USA 1987-95); *National Representatives:* K. Danzer (GDR 1985-91); K. Zimmer (Hungary 1985-89); S. Shibata (Japan 1985-95); L. Pszonicki (Poland 1985-91); D. Z. Batistoni (Argentina 1987-93); M. Valcarcel (Spain 1987-95); B. Gilbert (Belgium 1989-91); I. Rubeska (Czech Republic 1989-91); L. Bezur (Hungary 1989-91); A. K. De (India 1989-93); A. Ulubelen (Turkey, 1989-95); P. S. Zacharias (India 1993-95); C. J. Rademeyer (South Africa 1993-95); K. Volka (Czech Republic 1993-95); A. J. Curtius (Brazil 1993-95); J. Park (South Korea 1993-95)

CONTENTS:

1 INTRODUCTION

2 INTRODUCTION TO LASERS

- 2.1 Definition of a laser
- 2.2 Energy levels and transitions
- 2.3 Laser amplification
- 2.4 Pumping processes
- 2.5 Laser cavity modes
- 2.6 Laser output-beam properties
 - 2.6.1 Temporal characteristics
 - 2.6.2 Wavelength characteristics
 - 2.6.3 Polarization characteristics
- 2.7 Frequency shifting

3 TYPES OF LASERS

- 3.1 Gas lasers
 - 3.1.1 Atomic lasers
 - 3.1.2 Ion lasers
 - 3.1.3 Molecular lasers
 - 3.1.4 Excimer lasers
- 3.2. Solid state lasers
 - 3.2.1 Glass lasers
 - 3.2.2 Crystal lasers
 - 3.2.3 Diode lasers
- 3.3. Liquid lasers
 - 3.3.1 Dye lasers
 - 3.3.2 Excimer lasers

4 LASER PROPAGATION

- 4.1 Wave optics and Gaussian beams

5 LASER DYNAMICS

- 5.1 Laser cavity equations
- 5.2 Laser spiking and mode competition
- 5.3 Laser Q-switching
- 5.4 Laser cavity dumping
- 5.5 Laser mode locking
 - 5.5.1 Active mode coupling
 - 5.5.2 Passive mode locking
- 5.6 Laser injection locking

6 EXPLOITATION OF LASER PROPERTIES IN CHEMICAL ANALYSIS

- 6.1 Use of wavelength tunability
- 6.2 Use of collimation - remote techniques
- 6.3 Use of diffraction-limited focusing - microscopic techniques
- 6.4 Use of the near-field
- 6.5 Optical fiber transmission
- 6.6 Use of time resolution
- 6.7 Use of phase resolution
- 6.8 Use of polarization
- 6.9 Use of high intensity

7 INDEX OF TERMS

Table XV.1

Nomenclature, symbols, units, and their usage in spectrochemical analysis - XV: Laser-Based Molecular Spectroscopy for Chemical Analysis - Laser Fundamentals (IUPAC Recommendations 1995)

SYNOPSIS

This report is 15th in a series on Spectrochemical Methods of Analysis issued by IUPAC Commission V.4. It is concerned with the fundamental properties of lasers as used in analytical molecular spectroscopy in the optical wavelength region. The present report has five main sections: Introduction to lasers, Types of lasers, Laser propagation, Laser dynamics, and Exploitation of laser properties.

1 INTRODUCTION

A series of documents dealing with nomenclature, symbols and units used in spectrochemical analysis is issued by IUPAC.

Part I [Pure Appl. Chem., 30, 653-679 (1972)] is concerned mainly with general recommendations in the field of emission spectrochemical analysis.

Part II [Pure Appl. Chem., 45, 99-103 (1976)] gives some basic rules on data interpretation.

Part III [Pure Appl. Chem. 45, 105-123 (1976),] deals extensively with the nomenclature of analytical flame (atomic emission and absorption) spectroscopy and associated procedures.

Part IV [Pure Appl. Chem. 52, 2541-2552 (1980)] concerns X-ray emission (and fluorescence) spectroscopy.

Part V [Pure Appl. Chem. 57, 1453-1490 (1985)] deals with the classification and description of radiation sources.

Part VI [Pure Appl. Chem. 56, 231-345 (1984)] covers molecular luminescence spectroscopy.

Part VII [Pure Appl. Chem. 60, 1449-1460 (1988)] is concerned with molecular absorption spectroscopy (UV/VIS).

Part VIII [Pure Appl. Chem. 63, 735-746 (1991)] deals with a new nomenclature system for X-ray spectroscopy.

Part IX [Pure Appl. Chem. 67, 1725-1744 (1995)] covers fundamental aspects of spectral dispersion and isolation of radiation.

Part X [Pure Appl. Chem. 60, 1461-1472 (1988)] deals with sample preparation for analytical atomic spectroscopy and other related techniques.

Part XI [Pure Appl. Chem. 67, 1745-1760 (1995)] deals with the detection of radiation.

Part XII [Pure Appl. Chem. 64, 253-259, 1992] deals with terms related to electrothermal atomization.

Part XIII [Pure Appl. Chem. 64, 261-264, 1992] deals with terms related to chemical vapour generation.

A document on laser-based atomic spectroscopy is in the approval process.

This document, Part XV, deals with the fundamental properties of lasers used in laser-based molecular spectroscopy for chemical analysis. It complements parts I, V, VI, VII, IX, XI, and lays the ground work for Parts XVI (Luminescence), XVII (Laser Ionization Methods), and XVIII (Raman Scattering Processes) of the series.

Basic aspects of lasers, types of lasers, principles of laser propagation, and details of the dynamical properties of lasers are covered, as used in molecular spectroscopy for chemical analysis. The spectral region considered ranges from 100 nm to 1 mm.

2 INTRODUCTION TO LASERS

2.1 Definition of a laser

The word *laser* [see Part III 3.1.3.3, Part V 1, and Part VII 3.1] is an acronym taken from the phrase *light amplification by stimulated emission of radiation*. The essential elements of a laser are: (a) an *active medium* [V 6.1]; (b) a *pumping process* to produce a *population inversion* [V 6.1]; and (c) suitable geometry of *optical feedback elements*. The active medium consists of a *host material* (gas, liquid, or solid) containing an *active species* (see Sect. 3 below).

2.2 Energy levels and transitions [see Note¹]

Every atom, molecule, or ion has its own characteristic set of *quantum energy levels*. These species can be excited to higher quantum energy levels and can decay through *radiative* and *nonradiative decay* processes. Radiative decay can occur by means of (i) *spontaneous emission* and (ii) *stimulated emission* [V 2.3.5].

Spontaneous emission, stimulated emission and absorption are quantified by *Einstein transition probabilities*. Absorption [V 2.3.5] occurs when the transition is from a lower energy level to a higher one, while stimulated emission occurs when the transition is from a higher energy level to a lower one. For a species with lower energy level i of energy E_i and upper energy level j of energy E_j , the rate of spontaneous emission is given by

$$dN_j / dt = - A_{ij} N_j$$

where N_j is the population in j , and A_{ij} is the Einstein transition probability for spontaneous emission for that transition. The *radiative lifetime* t_{rad} of the upper level is the reciprocal of A_{ij} (if no more than one transition from the upper level is possible). When the species is irradiated by an electromagnetic wave *stimulated transitions* can be induced. The rates of these transitions are:

$$\frac{dN_j}{dt} = - \sum_i r_{\tilde{\mathbf{n}}}(\tilde{\mathbf{n}}_{ij}) B_{ij} N_j \quad \text{for stimulated emission}$$

$$\frac{dN_i}{dt} = - \sum_j r_{\tilde{\mathbf{n}}}(\tilde{\mathbf{n}}_{ij}) B_{ji} N_i \quad \text{for absorption}$$

where $r_{\tilde{\mathbf{n}}}(\tilde{\mathbf{n}}_{ij})$ is the spectral radiant energy density in unit wavenumber interval at wavenumber $\tilde{\mathbf{n}}_{ij}$ and B_{ji} and B_{ij} are the Einstein transition probabilities (in terms of wavenumbers) for stimulated transitions. The Einstein transition probabilities are proportional to each other:

$$A_{ji} = (8\pi h c_0 \tilde{\mathbf{n}}_0^3) B_{ji}$$

$$B_{ij} = B_{ji} (g_j/g_i)$$

where h is Planck's constant, c_0 is the speed of light in vacuum, $\tilde{\mathbf{n}}_0$ is the wavenumber at the center of the transition, and g_i and g_j are degeneracy factors.

¹ Some of these terms are defined in *Quantities, Units and Symbols in Physical Chemistry*, (Blackwell, Oxford, 1993), Sec. 2.6, 2.7

2.3 Laser amplification

Optical radiation that passes through a collection of absorbing species is absorbed or attenuated

with distance z . The transmitted *radiant power* $F(z)$ [V 6] of the radiation is given by the Lambert-Beer Law:

$$F(z) = F_0 \exp[-\alpha(\tilde{\mathbf{n}})z]$$

where $\alpha(\tilde{\mathbf{n}})$ is the *napierian absorption coefficient*. The absorption coefficient is proportional to the *population difference* ($N_i - N_j$) between the two levels involved in the transition. If the population difference, through some pumping process, can be made to change sign (or $N_j > N_i g_i/g_j$) creating a population inversion, the same equations apply except that now the transmitted radiant power will increase with distance leading to laser *gain*. When $N_j = N_i g_i/g_j$ (effectively zero population difference) *saturation* occurs. (see Sec. 5)

2.4 Pumping processes

Many laser pumping processes have been demonstrated. Examples are *gaseous discharge pumping* using direct current (dc) or radio frequency sources including *glow discharges*, hollow cathodes, arc discharges, and *pulsed axial and transverse discharges* (involving both *direct electron excitation* and *two-stage collisional pumping*); *optical pumping* using flashlamps, arc lamps (pulsed or dc), tungsten lamps, semiconductor LEDs, other lasers, and even direct sunlight; *chemical reaction pumping* where the chemical reaction produces the population inversion; *direct current injection* into semiconductor injection lasers; pumping by means of supersonic expansion of gases creating *gas-dynamic lasers*; *plasma pumping* in hot dense plasmas created by plasma pinches, focused high-power laser pulses, or electrical pulses; and accelerated electrons as used in the *free-electron laser*.

With only two energy levels population inversion cannot be achieved because the absorption and stimulated emission rate constants are the same. Pumping for population inversion requires at least three energy levels (see Fig. 1). In *three-level lasers*, the species initially in the *lower level* must be pumped to the *upper pumping level* from where it relaxes into the *upper laser level*

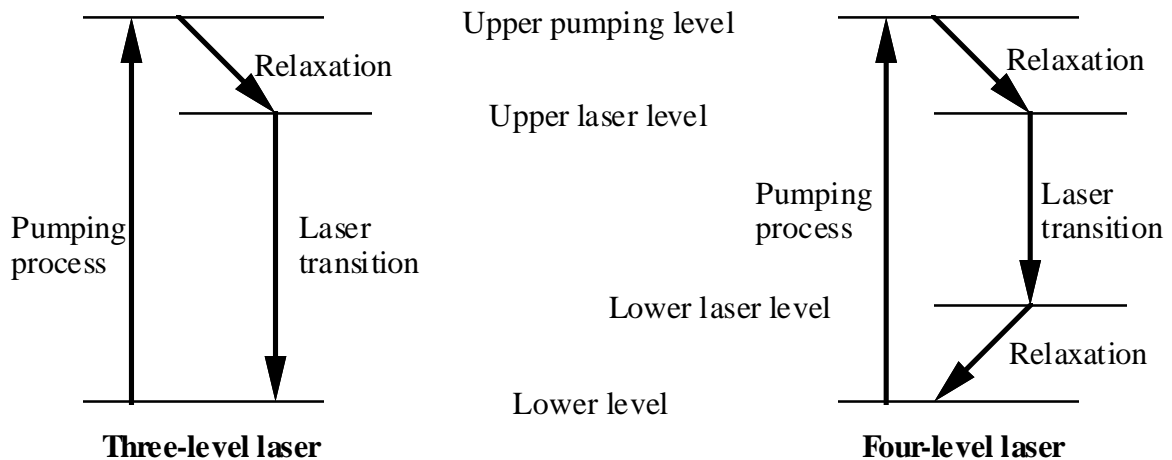


Figure 1. Schematic representations of three and four-level lasers

level before any inversion is obtained. Greater efficiency can usually be obtained in *four-level lasers* wherein pumping occurs between the lower level and the upper pumping level,

with fast nonradiative relaxation into the upper laser level. Fast relaxation processes also connect the *lower laser level* with the lower level.

2.5 Laser cavity modes

A *laser cavity* is formed when the optical feedback elements are *end mirrors*. A complete pass of the optical radiation through the cavity, returning to the original location and direction, is known as a *round trip*. When the round-trip laser gain is equal to the round-trip *cavity losses* the laser has reached the *threshold condition*. Once the threshold condition is exceeded, the circulating radiation builds exponentially in intensity until the steady-state condition is reached (see Sec.5.1).

The round-trip path length of a linear resonator must be a half integral number of laser wavelengths. This restriction results in a set of *axial modes* with discrete and equally spaced *axial-mode frequencies* $\nu_{ax} = nc/2L$ (see Fig. 2).

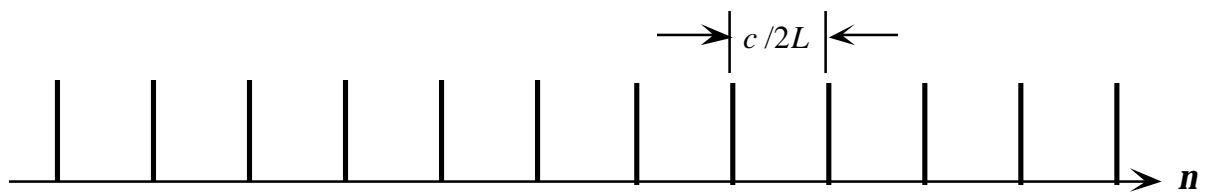


Figure 2. Axial mode frequencies ν_{ax} of a linear cavity

The variation of the optical field perpendicular to the direction of propagation of the radiation in the laser cavity determines the *transverse mode properties* (see Fig. 3 and also Sec. 4). The finite diameters of the laser medium and the end mirrors produce a discrete set of *transverse eigenmodes*, or distinct amplitude and phase patterns for the circulating beam, which reproduce themselves after each round trip. The diffraction losses are generally very small in *stable laser cavities*, in which a paraxial ray continues to remain close to the optic axis, even after many reflections. End mirror configurations designed to more completely utilize laser media of larger diameter are used in *unstable laser cavities*, although in these a ray initially paraxial in the cavity diverges from the axis upon multiple reflections.

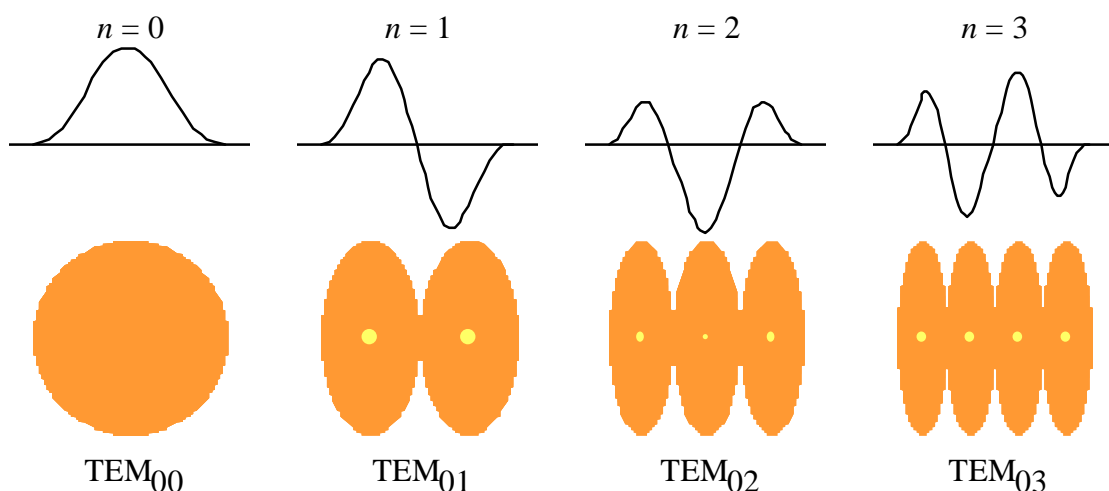


Figure 3. Some transverse eigenmodes of a laser cavity

2.6 Laser beam properties

The output beam from a laser is fundamentally different from the radiation emitted by any other source of electromagnetic radiation. The output of lasers exhibits *spatial* and *temporal coherence*. Spatial coherence implies a small variation in coherence across the wavefronts in the direction transverse to the propagation direction. Temporal, or longitudinal, coherence refers to the correlation between the wave at one spatial position at two different times. Some of these properties are imparted by the cavity. In an actual laser many axial and transverse modes are possible. A small number of these can be selected using wavelength selective elements in the cavity. *Spectral purity* [IX 7.1] is determined primarily by the *transition linewidth* \mathbf{G} of the active medium, as well as by the *instrument function* [IX 7.1] of the laser cavity. The instrument function can be affected by mechanical vibrations, thermal expansion, and other effects.

2.6.1 Laser temporal properties

There are *pulsed lasers* and *continuous wave lasers* (see also 5.1). The *pulse duration* t_p [V 6.1] is determined by the pumping pulse duration, relaxation processes, and/or modulation of the laser resonator. The output of a laser can be described by the *average power*, \mathbf{F}_{ave} , or energy per time. For a pulsed laser, a more complete description includes *pulse duration* t_p , *pulse repetition rate* f_p , and *pulse energy* E_p . The *peak power* \mathbf{F}_{pk} [V 6.1] is the maximum power achieved during a pulse. The relationships between these parameters depends on the *pulse shape*.

2.6.2 Laser wavelength properties

Laser cavity stability limits the ultimate *frequency bandwidth* $\Delta\mathbf{w}$ (or *wavenumber bandwidth* $\Delta\mathbf{\tilde{n}}$) of a laser. Some lasers are made *wavelength tunable* by introducing *wavelength selective elements* into the cavity. Examples of such devices are gratings, Fabry-Perot etalons, *birefringent filters*, dielectric filters, and *electro-optic filters*. The *wavelength tuning range* is also determined by the gain characteristics of the active medium. Some lasers can achieve population inversion for more than one transition, leading to *multiwavelength lasers*.

2.6.3 Laser polarization properties

The optical properties of the laser resonator can produce various polarizations of the laser beam. The state of the polarization of a light wave can be characterized using *Stokes parameters*. In general, a light wave has *elliptical polarization*. The Stokes parameters s_1 , s_2 , and s_3 are related to the Stokes intensity parameter s_0 , the orientation angle of the ellipse (with respect to laboratory coordinates), \mathbf{y} , and the ellipticity of the ellipse, \mathbf{c} , as follows:

$$s_1 = s_0 \cos 2\mathbf{c} \cos 2\mathbf{y}$$

$$s_2 = s_0 \cos 2\mathbf{c} \sin 2\mathbf{y}$$

$$s_3 = s_0 \sin 2\mathbf{c}$$

The state of polarization can be changed using, e.g. *birefringence*.

The output beam of a laser is the sum of many light waves, so that the polarization of the entire beam is the sum of the polarizations of the individual waves. We may regard the beam to be the sum of the *completely polarized* part and a small *completely unpolarized* part. The total power of the beam is \mathbf{F}_{tot} and the total power of the polarized part of the beam is \mathbf{F}_{pol} . The *degree of polarization* $P = \mathbf{F}_{\text{pol}} / \mathbf{F}_{\text{tot}}$ and varies from $0 \leq P \leq 1$, where $P = 1$ for completely polarized and $P = 0$ for completely unpolarized (also called *natural light*). For most lasers P is close to 1. In many applications, the orientation of the polarization of a laser

beam with respect to an optical surface is important. The laser beam power can be decomposed into a part with its electric vector oriented parallel to the plane of incidence (*parallel polarized power*), F_{\parallel} and a part perpendicular [see Note²] (*perpendicular polarized power*), F_{\perp} .

2.7 Generation of other frequencies

The frequency of the laser output can be changed using *nonlinear optical methods*. Such techniques as *second harmonic generation*, *sum* and *difference frequency generation*, *third harmonic generation*, *Raman shifting*, and *parametric oscillation* are common. Very short pulses (less than a few ps), when focussed into a medium, e.g. water, can produce an ultrafast *supercontinuum* covering a range of $\sim 10\,000\text{ cm}^{-1}$.

3 TYPES OF LASERS

3.1 Gas Lasers

In *gas lasers*, the active medium is a gas containing a laser active species. These lasers are typically composed of a *plasma discharge tube* containing a gas that can be excited with an electric discharge (see 2.5). The discharge tube may be provided with *Brewster-angle end windows* and external end mirrors (see Fig. 4), or the end mirrors may be sealed directly to the tube. For dc discharge pumping, the output of this type of laser is usually continuous wave. Alternatively, the laser can be excited using a pulsed transverse or axial electric discharge, resulting in a pulsed output.

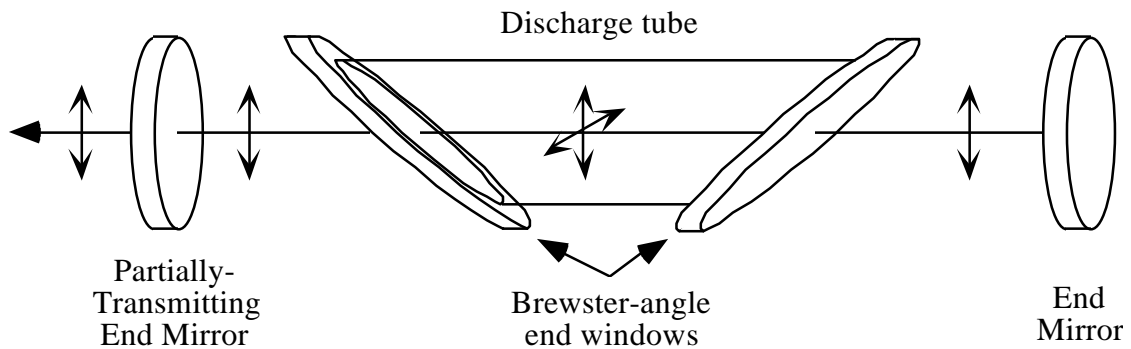


Figure 4. Schematic representation of a gas laser cavity. Double-headed arrows indicate electric field polarization directions, and show the beam polarization produced using Brewster-angle end windows on the discharge tube.

3.1.1 Atomic lasers

An example of continuous wave *atomic lasers* is the helium-neon (HeNe) laser. The copper vapour laser is an example of the pulsed type.

Other atomic lasers are pumped using *energy transfer* from other atoms or molecules. One type of iodine laser operates by electronic energy transfer from $^1\Delta_g\text{ O}_2$ molecules created by chemical reactions or by electric discharge.

3.1.2 Ion lasers

Ion lasers operate in a way similar to atomic lasers, except that the active species is an ion formed in the electric discharge. Most lasers of this type have continuous wave (cw) output. Examples are the argon ion, krypton ion and helium-cadmium (HeCd) lasers.

² A beam with its electric vector oriented parallel or perpendicular to the plane of incidence is often called "p" or "s" polarized, respectively.

3.1.3 Molecular lasers

Molecular lasers make use of electronic, vibrational, or rotational energy levels in molecules. The molecules can be excited by an electric discharge, as in the case of the N₂ or CO₂ laser, by chemical reactions, as in the HF or DF chemical lasers, or by another laser, as in the CH₃F far IR laser. Molecular lasers can be pulsed or cw.

3.1.4 Excimer lasers

In an *excimer laser*, an electric discharge pulse in a mixture of gases creates excited atoms that bind with other atoms in the mixture. The bond formed is only stable in the excited state, so that the molecule dissociates after emission. Because there is no population in the lower level, the population inversion is guaranteed. *Rare gas/halogen lasers* such as ArF and XeCl are common examples and have short pulse output.

3.2 Solid state lasers

The active medium of *solid state lasers* is composed of a solid material containing a laser active species. The basic operation of these lasers is similar to that described in Sec. 3.1.

3.2.1 Glass lasers

When the active medium is a glass host that supports the active species, the lasers are called *glass lasers*. The neodymium-doped phosphate glass laser is one example. These lasers can be pulsed or cw.

3.2.2 Crystal lasers

A large number of solid state lasers have an active medium composed of ionic species supported in a crystalline host. The first laser used a ruby crystal in which chromium ions are supported in an aluminum oxide (sapphire) crystal as the active medium. One of the most common *crystal lasers* involves neodymium atoms in a yttrium aluminum garnet host crystal (the Nd:YAG laser). These lasers can be operated using both pulsed and continuous-wave pumping schemes, and have pulsed or cw output. Several materials allow wavelength tunability, such as the titanium-doped sapphire laser. Concerted energy transfer processes between different ions in a crystal can lead to laser action at a shorter wavelength than that of the pump source in an *up-conversion laser*, such as the thulium-doped yttrium lithium fluoride (Tm:YLF) laser. In the infrared, *colour-center lasers* such as KCl:Li or LiF are very useful tunable sources.

3.2.3 Diode lasers

In *diode lasers* [see Note³] the laser-active species is the *p-n junction* between p-type and n-type semiconductor host materials. The resonant cavity is formed by cleaving the semiconductor crystal at the junction ends (see Fig. 5). These cleaved ends can function as end mirrors because the large refractive index change at the semiconductor-air surface results in a large reflectivity.

The laser emission is restricted to the region in the immediate vicinity of the junction which results in a large and asymmetric output beam divergence angle (see Sec. 4.1 and Fig. 6). The broad emission bandwidth near the *band gap energy* [XI] between the valence and conduction bands enables laser action over a large wavelength range. The wavelength can be varied

³ The term diode laser is preferred over the sometimes-used term semiconductor laser

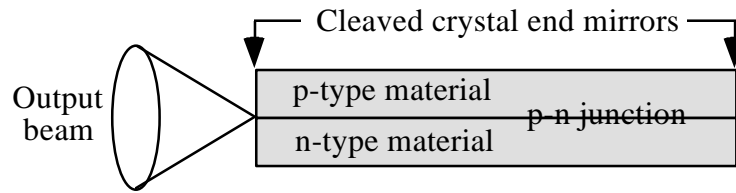


Figure 5. Schematic representation of a diode laser

within this range using *temperature tuning* (temperature varies the cavity length and Fermi energy). The short cavity leads to a large difference between axial mode frequencies (see Sec. 2.5), allowing single-mode operation under certain current and temperature conditions in *single-mode diode lasers*. Because of the direct conversion of electrical current into light energy, diode lasers are very efficient. The wavelength of the output beam can be modulated using *drive current modulation*.

3.3 Liquid lasers

A liquid solution is the active medium in *liquid lasers*.

3.3.1 Organic Dye lasers

Organic dyes in solvents are the laser medium in *organic dye lasers*. These lasers are of the four-level type. The laser transition is usually between the lowest vibrational states of the upper laser level and the upper vibrational states of the lower laser level. *Vibrational relaxation* processes ensure rapid depopulation of the lower laser level, sustaining the population inversion. The emission covers a broad wavelength range allowing broad tunability and exhibits a large *Stokes shift* to low wavenumbers from the pump wavelength. Laser design and pulse length restrictions occur because of *intersystem crossing* into *triplet levels* [VI] that absorb the laser output wavelength, achievable dye concentrations, and paramagnetic interactions with dissolved oxygen.

3.3.2 Liquid excimer lasers

Excimer species in liquids have also been used as laser-active species. An example of the *liquid excimer laser* is the KrF in liquid Kr laser.

4 LASER PROPAGATION

4.1 Wave optics and Gaussian beams

The *lowest order transverse mode* beam (TEM_{00}) (see Fig. 3) can be characterized by a *beam waist* w_0 and a planar (*radius of curvature* $R = \infty$) wavefront at the waist. All the important parameters of this beam can be related to w_0 and to the wavelength λ (see Fig. 6).

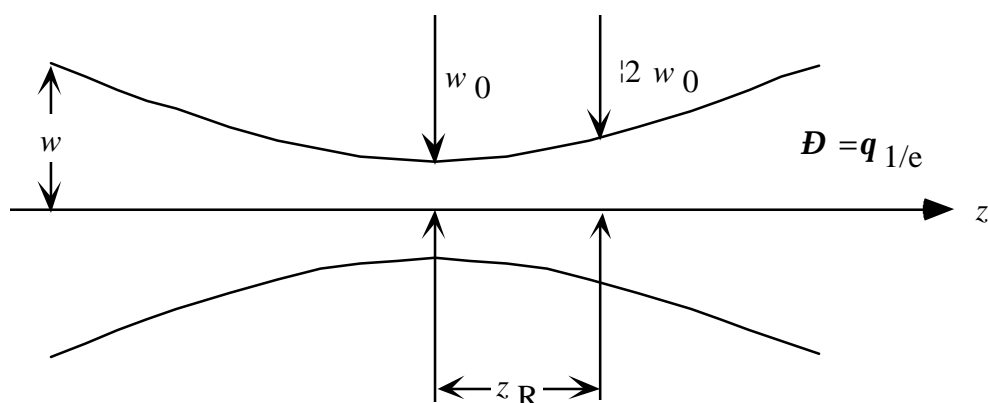


Figure 6. Parameters of a lowest order transverse mode beam near a beam waist. See text for explanation of symbols.

The *Rayleigh range* $z_R = \pi w_0^2 / \lambda$ marks the approximate dividing line between *near field* (or Fresnel) and *far field* (or Fraunhofer) regions for a beam propagating in the z direction from a waist. The spherical radius of curvature of this beam wavefront varies with distance along the beam axis as $R(z) = z + z_R^2 / z$. The wavefront is planar at the waist, gradually becomes curved, with maximum curvature at z_R , and then becomes less curved with further propagation. In the far field the beam spreads to a radius $w(z) = \lambda z / \pi w_0$. Focusing a TEM₀₀ beam produces the reverse of this process, giving an effective beam radius at the focus of $w_0 = f \lambda / \pi w$ where f is the *focal length* of the lens. The *depth of focus* b is twice the Rayleigh range ($b = 2 z_R$) and is the distance over which the beam exhibits *collimation*. The *divergence angle* $2\theta_{1/e}$ of the beam propagating from a waist is given by $2\theta_{1/e} = \lambda / \pi w_0$. Lasers with small exit beam waists (e.g. diode lasers) have large divergence angles (see Sec. 3.2.3).

The *radial intensity* I_r along a line passing radially through the axis of a TEM₀₀ beam has a Gaussian profile at all axial positions, i.e. $I_r = \exp(-r^2 / w^2)$ (see Fig. 7).

5 LASER DYNAMICS

5.1 Laser cavity equations

The *exponential growth* of the radiation intensity $I(t)$ inside a laser cavity has the general form

$$I(t) = I_0 \exp[(g_m - g_c)t].$$

where g_m and g_c are the *cavity growth* and *decay rates*, respectively, given by

$$g_m = 2a_m L_m / t_{rt} \quad \text{and} \quad g_c = [2a_0 L + \ln(1/R_{tot})] / t_{rt}.$$

With time varying coefficients (important in mode locking - see 5.5) the growth equation is:

$$dI(t) / dt = [g_m(t) - g_c(t)] I(t)$$

and a_m is the *gain coefficient* of the active medium, a_0 is the *cavity scattering loss coefficient*, L is the overall cavity length, L_m is the length of the active medium, R_{tot} is the product of the reflectivity of the cavity mirrors, and t_{rt} is the *cavity round-trip time*. The laser output is then determined by the net loss per length, the net gain per length, and the axial mode frequencies, as in Fig. 8. The net loss per length is determined by the reflectivities of the mirrors and the reflectivities and scattering of other optical elements including cell windows. For low-gain lasers, mirror reflectivities are typically $> 99\%$; higher-gain lasers may have an *output coupler* with fairly low reflectivity ($< 50\%$). The effective number of laser cavity modes lying within the laser transition linewidth can be very large for ordinary laser cavities with high mirror reflectivities, with values typically of the order of 10^2 to 10^5 . Fluctuations among the intensities of these individual modes leads to *mode noise*.

The intensity in a laser cavity builds up exponentially with time, starting from an *initial noise intensity* I_0 until the *circulating intensity* I_{circ} reaches the *steady-state intensity* I_{ss} when the laser gain saturates (i.e. the cavity growth and decay rates are equal). Above the steady-state intensity, the ratio of laser output intensity to the *pump intensity* I_p is the *slope efficiency* (see Fig. 9).

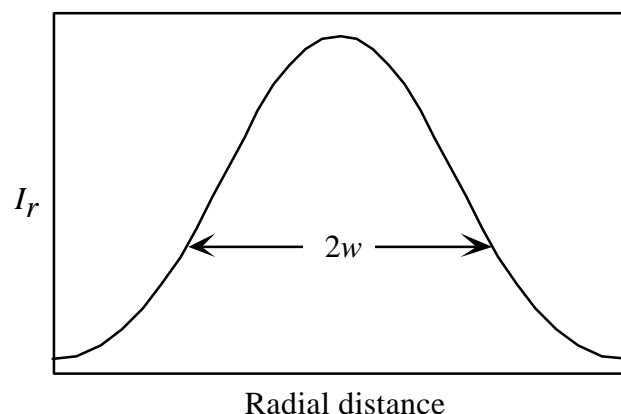


Figure 7. Radial intensity of a TEM₀₀ beam

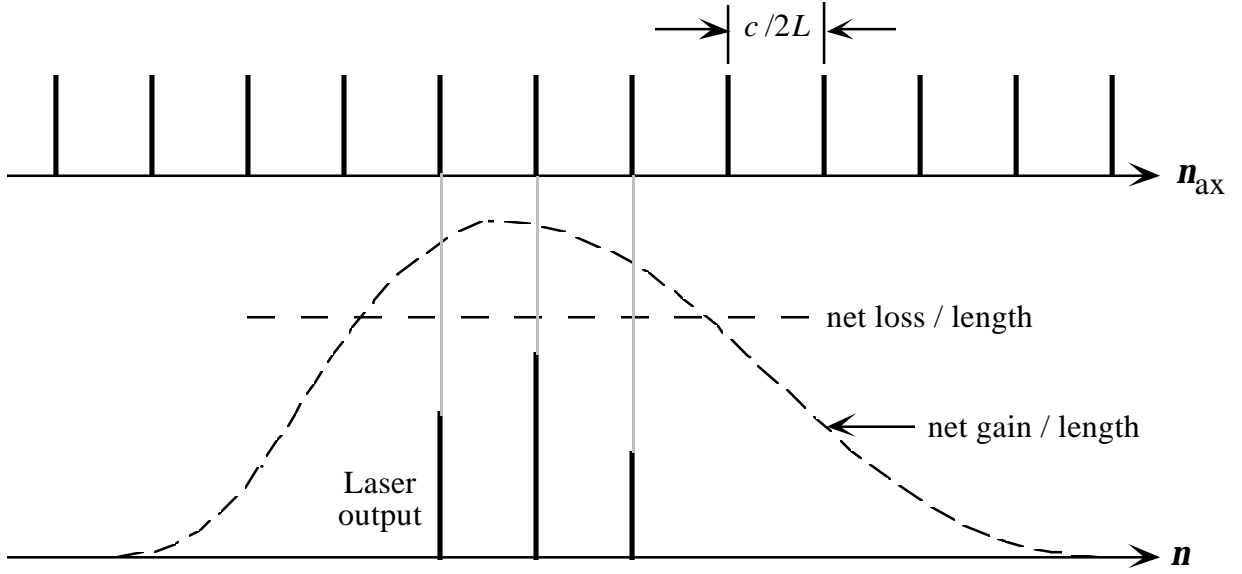


Figure 8. Laser output frequencies are determined by axial mode frequencies. Laser output only occurs for frequencies where the net gain/length is greater than the net loss/length

The *oscillation buildup time* t_b (time to reach the steady-state intensity) can be approximated using the *normalized inversion ratio* $r_{inv} = \mathbf{d}_{m0} / \mathbf{d}_c$, where \mathbf{d}_{m0} is the *initial unsaturated gain coefficient* and \mathbf{d}_c is the *cold-cavity loss coefficient*. The ratio of the steady-state intensity to initial noise intensity may range from 10^8 to 10^{12} , implying that the oscillation buildup time may vary from 10 to 30 *cavity decay times*, $t_{cd} = 1/\mathbf{g}_c$. The parameters t_b and t_{cd} are important in *intracavity absorption spectrochemical methods*.

The cavity growth or decay rates can be time varying because of saturation of the gain coefficient or because of deliberate modulation of the cavity losses or cavity output coupling with time. The total radiant energy inside the laser cavity can be expressed by defining a *number of photons* $n(t)$ in a *standing-wave cavity* of length L (or a *ring cavity* of *perimeter length* L) and cross-sectional area A as:

$$n(t) = 2AI_{\text{circ}}(t)L/h\tilde{\mathbf{n}}_c = 2V_c I_{\text{circ}}(t)/h\tilde{\mathbf{n}}_c$$

where $V_c = AL$ is the *cavity mode volume*.

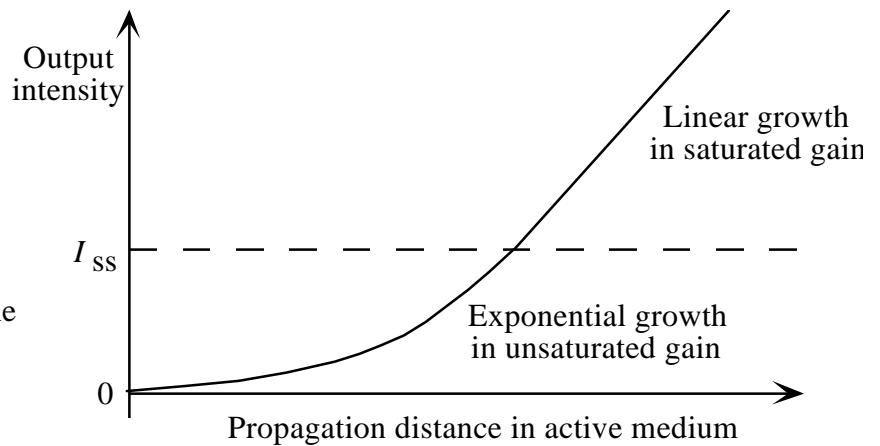


Figure 9. Growth of the output intensity in a laser.

The *net emission rate* is proportional to the number of cavity photons plus one, where the one comes from the spontaneous emission. This additional photon can be viewed as an incoherent or noise-like driving term which excites the cavity mode in a random or noise-like fashion,

acting as a *fundamental quantum noise source*. It is responsible for the *ultimate noise figure* of laser amplifiers and also for the quantum noise fluctuations in phase and amplitude that are present in even the most perfectly stabilized laser oscillators or frequency standards. This noise obeys Poisson statistics and is similar to flicker noise [XI 4.3].

Although there is a large number of possible cavity modes in a typical laser, it is possible to restrict *laser action* to a single preferred cavity mode as in *single-mode lasers*. In very high gain laser media, very high-gain amplification of the internal spontaneous emission can result in *amplified spontaneous emission* (ASE).

5.2 Laser spiking and mode competition

In many high-gain lasers, the gain does not saturate immediately with increasing laser intensity, but only after a time delay necessary for the circulating laser intensity to reduce the excess population inversion (caused by large pumping intensities). The laser power in this situation may exhibit a strong transient overshoot, followed by quasiperiodic *relaxation oscillation*. *Laser spiking* occurs in laser systems in which the recovery time of the excited state population inversion is substantially longer than the laser cavity decay time.

Laser action in one laser mode will generally reduce the gain available for another mode. *Laser mode competition* then occurs by means of frequency-locking or frequency-pulling effects caused by scattering or by intracavity modulators, by means of *self saturation* of the gain of a laser mode due to its own intensity, or by means of *cross saturation* of the gain. Cross saturation is due to spatial overlap of each mode with the gain medium and with other modes as well as to *lineshape factors* that include how far each of the wavelengths is off the resonance line center.

5.3 Laser *Q*-switching

Laser *Q-switching* [V 6.2.3] is produced by allowing a laser pumping process to build up a large population inversion inside a laser cavity, while not allowing laser action by removing the cavity feedback or greatly increasing the cavity losses. Then, after a large inversion has been developed, the cavity feedback is restored by switching the cavity *quality factor Q* [V 6.2.3] to a larger value (or, by reducing the cavity losses) allowing all the *accumulated population inversion* to be extracted from the active medium in a single short laser pulse. Lasers can be *Q-switched* using *rotating mirrors* or *prisms*, *electro-optic* (Pockels cell or Kerr cell) *modulators*, *magneto-optical shutters* [V 6.2.3], *acousto-optic modulators*, and *saturable absorber dyes*.

5.4 Laser cavity dumping

Laser *cavity dumping* is accomplished by allowing a large circulating intensity to develop using no output coupling. After a large circulating intensity is achieved, it can all then be switched out of the cavity using electro-optic or acousto-optic modulators as *cavity dumpers*.

5.5 Laser mode locking

Laser mode locking can provide ultrashort light pulses and other forms of periodically modulated laser power. Methods include *active* and *passive mode locking*.

5.5.1 Active mode locking

The electric field pattern inside a laser cavity periodically repeats with a period given by $2L/c$ for a cavity of length L , which is the inverse of the axial mode frequency. Modulating the cavity losses using, for example, an electro-optic or acousto-optic device at a *modulation frequency* ν_m that exactly matches the round-trip frequency of the cavity (or one of its harmonics) results in active mode locking. Viewed in the time domain, the modulator causes

the radiation in the laser cavity to become a short pulse that passes through the modulator on each round trip just at the instant when the modulator transmission is at its maximum. In the frequency domain, the oscillating axial modes of frequency ν_{ax} acquire *modulation sidebands* of frequencies $\nu_{ax} \pm n \nu_m$ as a result of the modulation. The sidebands fall on top of other axial cavity modes, leading to mode-coupling of each axial mode to one or more of its neighboring modes, locking them in phase. Using either an *amplitude modulator* or a *phase (or frequency) modulator* leads to *AM mode locking* or *FM mode locking*, respectively. Use of a phase modulator can also lead to *frequency swept* operation.

5.5.2 Passive mode locking

A saturable absorber has optical absorptivity that is constant at low intensities but decreases and finally saturates with increasing laser intensity. Placing a saturable absorber inside a laser cavity can result in passive mode locking. With constant laser pumping the radiation intensity increases as described in 5.1. When the increasing radiation intensity saturates the loss in the saturable absorber, preferential growth of an *initial noise spike* results in production of a very short pulse in the cavity.

5.6 Laser injection locking

Coupling of one laser into another laser can result in *injection locking*. Given an injected frequency within a narrow locking range around the independent frequency of the second (usually larger) laser, the injected signal can capture or lock the subsequent oscillation behavior and define the laser frequency of the larger laser. If the injected intensity is weak (i.e. comparable to the spontaneous emission intensity), it can still establish the initial conditions from which the oscillation will build up, a process referred to as *injection seeding*.

6. EXPLOITATION OF LASER PROPERTIES IN CHEMICAL ANALYSIS

6.1 Use of wavelength tunability

Tunability of lasers has been exploited in *excitation/fluorescence spectroscopy*, photochemistry, high resolution spectroscopy, etc. Combining tunable excitation with dispersed or synchronous fluorescence detection leads both to high sensitivity and to enhanced *selectivity*.

6.2 Use of collimation - remote techniques

The relationship between beam waist and Rayleigh range (see Sec. 4.1) implies that a beam with a large waist can remain collimated over considerable distances. *Remote detection* schemes using intense laser sources and backscatter of laser radiation make use of this principle and are common for atmospheric chemistry studies.

6.3 Use of diffraction-limited focusing - microscopic techniques

Spatial coherence allows focusing close to the diffraction limit, making microscopic analyses such as *laser microprobes* possible. Very high intensities are then also possible, which are exploited in *laser fusion devices*, as well as for studies of highly ionized matter and the possibility of X-ray lasers.

6.4 Use of the near-field

Excitation sources with spatial resolution better than the diffraction limit (i.e. on the atomic scale) have been produced using very small point sources such as extruded and clad fibers or

hollow scanning-tunneling microscope tips. This technique utilizes the near-field beam close to the exit of the point source where the size of the aperture still defines the spatial resolution.

6.5 Optical fiber transmission

Optical fibers made from transparent materials such as plastic or glass can be used to transmit laser light over long distances. Most fibers scramble the spatial mode properties of the laser because they are not *single-mode waveguides*. Others, called *single-mode fibers*, can also be *polarization preserving* by use of *strain birefringence*. The diffraction-limited focusing properties of lasers are used to efficiently couple light into the optical fibers and standard optical elements or e.g. *graded index (GRIN) lenses* are used to couple light out of the fibers.

6.6 Use of time resolution

Lasers can be made to provide pulses of duration from just a few optical cycles up to continuous wave. The shortest pulses are used in studies of fundamental chemical reaction dynamics and solid state material behavior, where the processes occur in the femtosecond time range. Time resolution on somewhat longer time scales is used in optical fiber communications using the technique of *time delay reflectometry*, and in an analog of radar known as *LIDAR*, for *light detection and ranging*.

6.7 Use of phase resolution

Phase sensitive detection for enhanced signal to noise, coherent detection methods such as *optical heterodyning*, and control of the time evolution of molecular wavefunctions are all made possible by careful control of the phases of lasers. Recent advances include production of optical analogs of NMR pulse sequences using controlled phases in sequences of optical pulses, leading to the possibility of using *phase-controlled pulse sequences* to control paths of chemical reactions.

6.8 Use of polarization

The high degree of polarization of lasers is commonly used for *Q-switching*, cavity dumping, and mode locking. In addition, this property can be used to perform sensitive *ellipsometry* and linear and circular dichroism measurements.

6.9 Use of high intensity

The high intensity of laser sources has led to the development of many new spectrochemical methods. Levels forbidden for single photon transitions can sometimes be excited using *multiphoton excitation* methods. New photoionization methods using lasers as ionization sources have been developed such as *resonantly-enhanced multi-photon ionization*. Surface analysis can be done using *surface harmonic generation* or *surface-enhanced Raman scattering*. Methods using non-linear optical processes such as coherent anti-Stokes Raman scattering and stimulated emission pumping have been developed.

7 INDEX OF TERMS

Term	Symbol	Section	Term	Symbol	Section
accumulated population inversion		5.3	amplified spontaneous emission (ASE)		5.1
acousto-optic modulator		5.3	atomic lasers		3.1.1
active mode locking		5.5	average power F_{ave}		2.6.1
active medium		2.1	axial modes		2.5
active species		2.1	axial-mode frequencies w_{ax}		2.5
AM mode locking		5.5.1	band-gap energy		3.2.3
amplitude modulator		5.5.1	beam waist w_0		4.1

birefringence	2.6.3	gas lasers	3.1
birefringent filters	2.6.2	glass lasers	3.2.1
Brewster-angle end windows	3.1.1	glow discharges	2.4
cavity decay rate g_c	5.1	graded index (GRIN) lenses	6.3
cavity decay time $t_{cd} = 1/g_c$	5.1	host material	2.1
cavity dumpers	5.4	initial noise intensity I_0	5.1
cavity dumping	5.4	initial noise spike	5.5.2
cavity growth rate g_m	5.1	initial unsaturated gain coefficient d_{m0}	5.1
cavity losses	2.5	injection locking	5.6
cavity length L	2.5	injection seeding	5.6
cavity mode volume V_c	5.1	instrument function	2.6
cavity round trip time t_{rt}	5.1	intersystem crossing	3.3.1
cavity scattering loss coefficient a_0	5.1	intracavity absorption	5.1
chemical reaction pumping	2.4	ion lasers	3.1.2
circulating intensity I_{circ}	5.1	laser	2.1
cold cavity loss coefficient d_c	5.1	laser action	5.1
collimation	4.1	laser cavity	2.5
colour-center lasers	3.2.2	laser cavity stability	2.6.2
completely polarized	2.6.3	laser fusion devices	6.2
completely unpolarized	2.6.3	laser microprobes	6.2
continuous wave lasers	2.6.1	laser spiking	5.2
cross saturation	5.2	light detection and ranging (LIDAR)	6.4
crystalline lasers	3.2.2	lineshape factors	5.2
depth of focus b	4.1	liquid lasers	3.3
degree of polarization	2.6.3	liquid excimer lasers	3.3.2
difference frequency generation	2.7	lower laser level	2.4
diode lasers	3.2.3	lower level	2.4
direct current injection	2.4	lowest order transverse mode (TEM ₀₀)	4.1
direct electron excitation	2.4	magneto-optical shutters	5.3
divergence angle $2q_{1/e}$	4.1	mode competition	5.2
drive current modulation	3.2.3	mode noise	5.1
Einstein transition probabilities A_{ij}, B_{ij}, B_{ji}	2.2	modulation frequency w_m	5.5.1
electro-optic filters	2.6.2	modulation sidebands	5.5.1
electro-optic modulator	5.3	molecular lasers	3.1.3
ellipsometry	6.6	multiphoton excitation	6.9
elliptical polarization	2.6.3	multiwavelength lasers	2.6.2
end mirrors	2.5	napierian absorption coefficient $a(\tilde{n})$	2.3
energy transfer	3.1.1	natural light	2.6.3
excimer lasers	3.1.4	near field	4.1
excitation/fluorescence spectroscopy	6.1	net emission rate	5.1
exponential growth	5.1	nonlinear optical methods	2.7
1/f noise	5.2	nonradiative decay	2.2
far field	4.1	normalized inversion ratio r_{inv}	5.1
FM mode locking	5.5.1	number of photons $n(t)$	5.1
focal length f	4.1	optical feedback elements	2.1
four-level laser	2.4	optical heterodyning	6.5
free-electron laser	2.4	optical pumping	2.4
frequency bandwidth Δw	2.6.2	organic dye lasers	3.3.1
frequency modulator	5.5.1	oscillation buildup time t_b	5.1
frequency swept	5.5.1	output coupler	5.1
fundamental quantum noise source	5.1	p-n junction	3.2.3
gain	2.3	parallel polarized power $F_{//}$	2.6.3
gain coefficient a_m	5.1	parametric oscillation	2.7
gas-dynamic lasers	2.4	passive mode locking	5.5
gaseous discharge pumping	2.4	peak power F_{pk}	2.6.1

perpendicular polarized power F_{\perp}	2.6.3	single-mode diode lasers	3.2.3
phase-controlled pulse sequences	6.6	single-mode fibers	6.3
phase modulator	5.5.1	single-mode lasers	5.1
phase sensitive detection	6.5	single-mode waveguides	6.3
plasma discharge tube	3.1	slope efficiency	5.1
plasma pumping	2.4	solid state lasers	3.2
polarization preserving fibers	6.3	spatial coherence	2.6
population difference N_i-N_j	2.3	spectral purity	2.6
population inversion	2.1	spontaneous emission	2.2
pulsed axial discharges	2.4	stable laser cavities	2.5
pulse duration t_p	2.6.1	standing wave cavity	5.1
pulse energy E_p	2.6.1	steady state intensity I_{ss}	5.1
pulse repetition rate f_p	2.6.1	stimulated transitions	2.2
pulse shape	2.6.1	Stokes parameters	2.6.3
pulsed lasers	2.6.1	Stokes shift	3.3.1
pulsed transverse discharges	2.4	strain birefringence	6.3
pumping process	2.1	sum frequency generation	2.7
pump intensity I_p	5.1	supercontinuum	2.7
quality factor Q	5.3	surface-enhanced Raman scattering	6.9
Q-switching	5.3	surface harmonic generation	6.9
quantum energy levels	2.2	temperature tuning	3.2.3
radial intensity I_r	4.1	temporal coherence	2.6
radiant power $F(z)$	2.3	third harmonic generation	2.7
radiative decay	2.2	three-level lasers	2.4
radiative lifetime t_{rad}	2.2	three-level pumping model	2.4
radius of curvature R	4.1	time delay reflectometry	6.4
Raman shifting	2.7	transition linewidth G	2.6
rare gas/halogen lasers	3.1.4	transverse eigenmodes	2.5
Rayleigh range z_R	4.1	transverse mode properties	2.5
relaxation oscillation	5.2	threshold condition	2.5
remote detection	6.1	triplet levels	3.3.1
resonantly-enhanced multi-photon ionization	6.9	two-stage collisional pumping	2.5
ring cavity	5.1	ultimate noise figure	5.1
round trip	2.5	unstable laser cavities	2.5
rotating mirror	5.3	up-conversion laser	3.2.2
rotating prism	5.3	upper pumping level	2.4
saturable absorber	5.3	upper laser level	2.4
saturation	2.5	vibrational relaxation	3.3.1
second harmonic generation	2.7	wavelength selective elements	2.6.2
selectivity	6.1	wavelength tunable	2.6.2
self phase modulation	2.7	wavelength tuning range	2.6.2
self saturation	5.2	wavenumber bandwidth $\Delta \tilde{\nu}$	2.6.2

Table I: Table of symbols, definitions and units

Term	Symbol	Definition	SI unit
Average power	F_{ave}		J/s
Axial mode frequencies	n_{ax}		s ⁻¹
Beam waist	w_0	$w_0 = f\lambda / \pi w$	m
Cavity decay rate	g_c	$g_c = [2a_0L + \ln(1/R_{tot})]/t_{rt}$	s ⁻¹
Cavity decay time	t_{cd}	$t_{cd} = 1/g_c$	s
Cavity growth rate	g_m	$g_m = 2a_m L_m / t_{rt}$	s ⁻¹
Cavity length	L		m
Cavity mode volume	V_c	$V_c = LA$	m ³
Cavity round trip time	t_{rt}		s
Circulating intensity	I_{circ}		W
Depth of focus	b	$b = 2z_R$	m
Degree of polarization	P	$P = F_{pol} / F_{tot}$	
Divergence angle	$2q_{1/e}$	$2q_{1/e} = 2\lambda / \pi w_0$	rad
Frequency bandwidth	Δw		s ⁻¹
Gain coefficient	a_m		
Modulation frequency	n_m		s ⁻¹
Number of photons	$n(t)$	$n(t) = 2V_c I_{circ}(t) / h \tilde{n}_c$	
Peak power	F_{pk}		J/s
Population difference	$(N_i - N_j)$		m ⁻³
Pulse duration	t_p		s
Pulse energy	E_p		J
Pulse repetition rate	f_p		s ⁻¹
Pumping rate	R_p		s ⁻¹
Pump intensity	I_p		W
Radial intensity (Gaussian)	I_r	$I_r = \exp(-r^2/w^2)$	W
Radius of curvature	R		m
Rayleigh range	z_R	$z_R = \pi w_0^2 / \lambda$	m
Steady-state intensity	I_{ss}		W
Wavenumber bandwidth	$\Delta \tilde{n}$		m ⁻¹